

PATENT
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UNITED STATES PATENT APPLICATION

of

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for

MEDIA DATA USE MEASUREMENT WITH REMOTE
DECODING/PATTERN MATCHING

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Field Of The Invention

Background Of The Invention

[0003] Another variant proposes the use of a portable device to be carried about by an audience member in order to gather data regarding the programs and other content to which the audience member has been exposed.

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necessary to supply a relatively large number of monitoring devices, such as stationary or portable devices. It is, therefore, desirable to minimize the complexity of such devices in order to minimize their cost.

Summary Of The Invention

[0005] For this application the following terms and definitions shall apply, both for the singular and plural forms of nouns and for all verb tenses:

[0006] The term "data" as used herein means any indicia, signals, marks, symbols, domains, symbol sets, representations and any other physical form or forms representing information, whether permanent or temporary, whether visible, audible, acoustic, electric, magnetic, electromagnetic or otherwise manifested.

[0007] The term "set" as used herein means any collection of elements, things, or data.

[0008] The term "amplitude" as used herein refers to values of energy, power, voltage, current, charge, intensity, size, magnitude, and/or pressure, however measured or evaluated, whether on an absolute or relative basis, on a discrete or continuous basis, on an instantaneous or accumulated basis, or otherwise.

[0009] The term "media data" as used herein means data which is widely accessible, whether over-the-air, or via cable, satellite, network, internetwork (including the Internet), distributed on storage media, or otherwise, without regard to the form or content thereof, and including but not limited to audio data and video data.

[00010] The terms "coupled", "coupled to" and "coupled with" as used herein each means a relationship between or among two or more devices, apparatus, files, programs, media, components, networks, systems, subsystems and/or means, constituting any one or more of (a) a connection whether direct or through one or more other devices, apparatus, files, programs, media, components, networks, systems, subsystems or means, (b)

a communications relationship whether direct or through one or more other devices, apparatus, files, programs, media, components, networks, systems, subsystems, or means, or (c) a functional relationship in which the operation of any one or more thereof depends, in whole or in part, on the operation of any one or more others thereof.

[00011] The term “signature” as used herein means a data set derived from the content of media data.

[00012] The terms “communicate” and “communication” as used herein include both conveying data from a source to a destination, and delivering data to a communications medium, system or link to be conveyed to a destination.

[00013] The term “processor” as used herein data means processing devices, apparatus, programs, circuits, systems and subsystems, whether implemented in hardware, software or both.

[00014] In accordance with an aspect of the present invention, a method is provided for measuring usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the media data. The method comprises receiving the media data in a monitoring device at the user location; forming a data set in the monitoring device from the media data by including in the data set, data sufficient to decode the ancillary codes in the media data or to form a signature to identify the media data, while excluding from the data set, data required either to reproduce the comprehensible images or the comprehensible sounds; communicating the data set to a processing system located remotely from the user location; and at the remotely located processing system, carrying out at least one of (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data based on the data set and matching the produced signature with a reference signature associated with identification data for the media data.

[00015] In accordance with another aspect of the present invention, a method is provided for measuring the usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the media data. The method comprises receiving a data set at a processing system located remotely from the user location, the data set including data sufficient to decode the ancillary codes in the media data or to form a signature to identify the media data, while excluding data required either to reproduce the comprehensible images or the comprehensible sounds; and at the remotely located processing system, carrying out at least one (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data and matching the produced signature with a reference signature associated with identification data for the media data.

[00016] In accordance with still another aspect of the present invention, a system is provided for measuring usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the media data. The system comprises means for receiving a data set at a processing system located remotely from the user location, the data set including data sufficient to decode the ancillary codes in the media data or to form a signature characterizing the media data, while excluding data required either to reproduce the comprehensible images or the comprehensible sounds; and processing means located at the processing system for carrying out at least one of (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data and matching the produced signature with a reference signature associated with identification data for the media data.

[00017] In accordance with a further aspect of the present invention, a system is provided for measuring usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the

media data, comprising means for receiving the media data at the user location; means at the user location for forming a data set from the media data by including in the data set, data sufficient to decode the ancillary codes in the media data or to form a signature to identify the media data, while excluding from the data set, data required either to reproduce the comprehensible images or the comprehensible sounds; means for communicating the data set to a processing system located remotely from the user location; and processing means at the processing system for carrying out at least one of (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data based on the data set and matching the produced signature with a reference signature associated with identification data for the media data.

[00018] In accordance with a yet still further aspect of the present invention, a system is provided for measuring usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the media data. The system comprises a communications device at a processing facility located remotely from a user location, the communications device having an input to receive a data set including data sufficient to decode the ancillary codes in the media data or to form a signature to identify the media data, while excluding data required to either reproduce the comprehensible images or the comprehensible sounds; and a processor located at the processing facility and coupled with the communications device to receive the data set and operative to carry out at least one of (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data based on the data set and matching the produced signature with a reference signature associated with identification data for the media data.

[00019] In accordance with still another aspect of the present invention, a system is provided for measuring usage of media data received at a user location, the media data being reproducible as comprehensible images or comprehensible sounds and having ancillary codes in at least some of the media data. The system comprises a monitoring device at the user location

and having an input to receive the media data; the first processor at the user location coupled with the monitoring device to receive the media data and operative to form a data set including data sufficient to decode the ancillary codes in the media data or to form a signature to identify the media data, while excluding from the data set, data required either to reproduce the comprehensible images or the comprehensible sounds; a first communications device coupled with the first processor to receive the data set and operative to communicate the data set to a processing system located remotely from the user location; a second communications device at the processing system coupled with the first communications device to receive the data set; and a second processor at the processing system and having an input coupled with the second communications device to receive the data set received by the second communications device, the second processor being operative to carry out at least one of (a) detecting the ancillary codes based on the data set; and (b) producing a signature characterizing the media data based on the data set and matching the produced signature with a reference signature associated with identification data for the media data.

[00020] In accordance with a further aspect of the present invention, a method is provided for measuring usage of media data received at a user location. The method comprises receiving media data representing information in a monitoring device at the user location; forming a data set in the monitoring device representing some, but not all, of the information represented by the media data; communicating the data set to a processing system located remotely from the user location; and at the processing system, carrying out at least one of: (a) detecting an ancillary code for the media data based on the data set; and (b) obtaining identification data for the media data by producing a signature for the media data based on the data set and matching the produced signature with a reference signature associated with the identification data.

[00021] In accordance with another aspect of the present invention, a method is provided for measuring usage of media data representing information and received at a user location. The method comprises receiving

a data set at a processing system located remotely from the user location, the data set representing some, but not all, of the information represented by the media data; and at the processing system, carrying out at least one of: (a) detecting an ancillary code for the media data based on the data set; and (b) obtaining identification data for the media data by producing a signature for the media data based on the data set and matching the produced signature with a reference signature associated with the identification data.

[00022] In accordance with a still further aspect of the present invention, a system is provided for measuring usage of media data representing information received at a user location. The system comprises means for receiving a data set at a processing system located remotely from the user location, the data set representing some, but not all, of the information represented by the media data; and processing means located at the processing system, for carrying out at least one of: (a) detecting an ancillary code for the media data based on the data set; and (b) obtaining identification data for the media data by producing a signature for the media data based on the data set and matching the produced signature with a reference signature associated with the identification data.

[00023] In accordance with a yet still further aspect of the present invention, a system is provided for measuring usage of media data received at a user location. The system comprises means for receiving media data representing information at the user location; data set forming means at the user location for forming a data set representing some, but not all, of the information represented by the media data; means for communicating the data set to a processing system located remotely from the user location; and processor means at the processing system, for carrying out for at least one of: (a) detecting an ancillary code for the media data based on the data set; and (b) obtaining identification data for the media data by producing a signature for the media data based on the data set and matching the produced signature with a reference signature associated with the identification data.

[00024] In accordance with yet another aspect of the present invention, a system is provided for measuring usage of media data representing

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[00025] In accordance with yet still another aspect of the present invention, a system is provided for measuring usage of media data received at a user location. The system comprises a monitoring device at the user location and having an input to receive media data representing information; a first processor at the user location coupled with the monitoring device to receive the media data and operative to form a data set representing some, but not all, of the information represented by the media data; a first communications device coupled with the first processor to receive the data set and operative to communicate the data set to a processing system located remotely from the user location; a second communications device at the processing system coupled with the first communications device to receive the data set; and a second processor at the processing system and having an input coupled with the second communications device to receive the data set received by the second communications device, the second processor being operative to carry out at least one of: (a) detecting an ancillary code for the media data based on the data set; and (b) obtaining identification data for the media data by producing a signature for the media data based on the data set and matching the produced signature with a reference signature associated with the identification data.

FIGURE 1 is a block diagram of an advantageous embodiment of the invention;

FIGURE 2 is a flowchart for use in describing an operation of the Figure 1 embodiment;

FIGURE 3 is a flowchart for use in describing an embodiment of the invention for producing identification data from audio and/or acoustic media data; and

FIGURE 4 is a flowchart for use in describing one alternative for implementing the embodiment of Figure 3.

Detailed Description Of Certain Advantageous Embodiments

[00026] Figure 1 illustrates an embodiment of a system for measuring usage of media data representing information received at a user location. The system includes a monitoring device 20 at the user location which monitors media data, as indicated by Step 25 in Figure 2. Where acoustic data including media data, such as audio data, is monitored, the monitoring device 20 typically would be a microphone having an input which receives media data in the form of acoustic energy and which serves to transduce the acoustic energy to electrical data. Where media data in the form of light energy, such as video data, is monitored, the monitoring device 20 takes the form of a light-sensitive device, such as a photodiode, or a video camera. Light energy including media data could be, for example, light emitted by a video display. The device 20 can also take the form of a magnetic pickup for sensing magnetic fields associated with a speaker, a capacitive pickup for sensing electric fields or an antenna for electromagnetic energy. In still other embodiments, the device 20 takes the form of an electrical connection to a monitored device, which may be a television, a radio, a cable converter, a satellite television system, a game playing system, a VCR, a DVD player, a portable player, a computer, a web appliance, or the like. In still further embodiments, the monitoring device 20 is embodied in monitoring software running on a computer or other reproduction system to gather media data.

[00027] In certain embodiments, the monitoring device 20 is implemented as a stationary monitoring device positioned near a television, radio, computer, web appliance, a cable converter, a satellite television

system, a game playing system, a VCR, a DVD player, or the like. In other embodiments, the monitoring device 20 is implemented as a portable device to be carried about by a user in order to gather data regarding media data to which the user is exposed.

[00028] The monitoring device 20 is coupled with an input of a processor 30 at the user location, so that the processor 30 can receive the media data from the monitoring device. The processor 30 is operative to produce a data set representing some, but not all, of the information represented by the media data, as indicated by Step 35 of Figure 2.

[00029] The processor 30 proceeds to form the data set by eliminating portions of the media data which are not required for further processing at a remote location where either a code (such as an ancillary code and/or identification code) is detected from the data set, or a signature is formed for matching against a library of signatures representing known media data, or both of these processes are carried out.

[00030] With reference again to Step 35 of Figure 2, in one advantageous embodiment, the processor 30 transforms the received media data into frequency-domain data and then selects certain portions of the frequency-domain data in order to form the data set. In accordance with certain alternatives of this embodiment, the media data is transformed into frequency-domain data in the form of amplitude data for each of a plurality of frequency ranges. Each of these ranges corresponds to a pre-determined identification code component and/or ancillary code component which may be present in the media data. In certain ones of these embodiments, the amplitude data are formed by producing ratios of amplitude data in certain frequency ranges to noise levels based on amplitude data outside such frequency ranges. In one variant of this technique, the ratios are formed as signal-to-noise ratios.

[00031] In still other embodiments, the data set is formed of time-domain data. In certain embodiments, the data set is formed by sub-sampling time-domain data, or by averaging or combining values of such data over time, or

by eliminating time segments of the data. In other embodiments, the time-domain data is produced by selecting a portion of such time-domain data from a frequency range narrower than a frequency range of the media data. In some such embodiments, this time-domain data is formed by filtering the media data.

[00032] In yet still further embodiments, the data set comprises data representing phase information. Alternative techniques for forming such phase information include comparing the phases of simultaneously occurring components of the media data from different respective frequency ranges or bins, or which constitute one or more single-frequency components, or by comparing time-displaced media data values or through a combination of such techniques.

[00033] A communications device 40 is coupled with the processor 30 to receive the data set. The communications device 40 communicates this data set via a communication system, link or medium 50 to a remotely located processing system comprising a further communications device 70 and a remote processor 60, as indicated by Step 45 of Figure 2. In certain embodiments, the communications device 40 is a modem or network card which transforms the data set into a format appropriate for communication via telephone network, a cable television system, a WAN or a wireless communications system. In embodiments which communicate the data wirelessly, the communications device 40 includes an appropriate transmitter, such as a cellular telephone transmitter, a wireless Internet transmission unit, an optical transmitter, an acoustic transmitter or satellite communications transmitter.

[00034] The device 70 is selected as appropriate, to be coupled with the device 40 to receive the data set as communicated thereby via the system, link or medium 50. The communications device 70 is coupled with remote processor 60 to provide the data set thereto for producing identification data, as indicated by Step 55 of Figure 2.

[00035] In certain embodiments, the remote processor 60 processes the data set to detect an identification code for the media data and/or an ancillary code therein, based on the data set. In other embodiments the remote processor 60 carries out a pattern matching process, by producing a signature for the media data based on the data set and matching the produced signature with a reference signature which is made available at the remotely located processing system. In some embodiments the reference signature is obtained from a database maintained at the remotely located processing system, while in others the reference signature is obtained from a remote source, such as a server which accesses a remotely located database.

[00036] The reference signature is associated with identification data serving to identify the media data from which the reference signature has been obtained. Accordingly, once a reliable match of the produced signature with a reference signature has been achieved, the identification data associated with the reference signature serves to identify the media data represented by the received data set.

[00037] Several advantageous and suitable techniques for detecting identification codes in media data are disclosed in US Patent No. 5,764,763 to James M. Jensen, et al, which is assigned to the assignee of the present application, and which is incorporated by reference herein. Other appropriate decoding techniques are disclosed in U.S. Patent No. 5,579,124 to Aijala, et al., U.S. Patent Nos. 5,574,962, 5,581,800 and 5,787,334 to Fardeau, et al., U.S. Patent No. 5,450,490 to Jensen, et al., and U.S. Patent Application No. 09/318,045, in the names of Neuhauser, et al., each of which is assigned to the assignee of the present application and all of which are incorporated herein by reference.

[00038] Still other suitable decoders are the subject of PCT Publication WO 00/04662 to Srinivasan, U.S. Patent No. 5,319,735 to Preuss, et al., U.S. Patent No. 6,175,627 to Petrovich, et al., U.S. Patent No. 5,828,325 to Wolosewicz, et al., U.S. Patent No. 6,154,484 to Lee, et al., U.S. Patent No. 5,945,932 to Smith, et al., PCT Publication WO 99/59275 to Lu, et al., PCT

Publication WO 98/26529 to Lu, et al., and PCT Publication WO 96/27264 to Lu, et al, all of which are incorporated herein by reference.

[00039] In certain embodiments, the processor 30 forms the data set of frequency-domain data and the processor 60 processes the frequency-domain data in the data set to detect an identification code or an ancillary code therein. Where the codes have been formed as in the Jensen, et al. U.S. Patent No. 5,764,763 or U.S. Patent No. 5,450,490, the frequency-domain data is processed by processor 60 to detect code components with predetermined frequencies. Where the codes have been formed as in the Srinivasan PCT Publication WO 00/04662, the processor 60 processes the frequency-domain data to detect code components distributed according to a frequency-hopping pattern. In certain embodiments, the code components comprise pairs of frequency components modified in amplitude to encode information, and the processor 60 detects such amplitude modifications. In certain other embodiments, the code components comprise pairs of frequency components modified in phase to encode information, and the processor 60 detects such phase modifications. Where the codes have been formed as spread spectrum codes, as in the Aijala, et al. U.S. Patent No. 5,579,124 or the Preuss, et al. U.S. Patent No. 5,319,735, the processor 60 comprises an appropriate spread spectrum decoder.

[00040] There are advantageous and suitable techniques for carrying out a pattern matching process to identify the media data based on the data set. Several such techniques are described below in connection with Figure 3.

[00041] Other suitable techniques for extracting signatures from media data and matching these signatures to reference signatures are disclosed in U.S. Patent No. 5,612,729 to Ellis, et al. and in U.S. Patent No. 4,739,398 to Thomas, et al., each of which is assigned to the assignee of the present invention and both of which are incorporated herein by reference.

[00042] Still other suitable techniques are the subject of U.S. Patent No. 3,919,479 to Moon, et al., U.S. Patent No. 4,697,209 to Kiewit, et al., U.S.

Patent No. 4,677,466 to Lert, et al., U.S. Patent No. 5,512,933 to Wheatley, et al, U.S. Patent No. 4,955,070 to Welsh, et al., U.S. Patent No. 4,918,730 to Schulze, U.S. Patent No. 4,843,562 to Kenyon, et al., U.S. Patent No. 4,450,551 to Kenyon, et al., and U.S. Patent No. 4,230,990 to Lert, et al., all of which are incorporated herein by reference.

[00043] In accordance with certain advantageous embodiments of the invention, the monitoring device 20 receives media data reproducible as comprehensible images or sounds at a user location, the received media data having ancillary codes therein. The processor 30 serves to form the data set from the media data by excluding data required either to reproduce comprehensible images or comprehensible sounds, while including data sufficient to decode identification codes and/or ancillary codes in the media data or to form a signature to identify such data.

[00044] In certain variants of these embodiments, audio or image data picked up by the monitoring device 20 is either transformed to the frequency domain or received as frequency-domain data. Those portions of the frequency-domain data not useful to decode an identification code or an ancillary code for audio or image media data or to form a signature to identify such data, are eliminated. Preferably, but not exclusively, the codes have been added to the audio data in accordance with the inaudible encoding techniques of U.S. Patent No. 5,764,763. Since the codes themselves are inaudible in the reproduced audio data, audible portions of the audio data may be eliminated from the data set without loss of data required to decode the codes. It will be appreciated that other kinds of inaudible codes may be recovered in this manner.

[00045] Similarly, where encoded image data is collected by means of the monitoring device 20, it is preferable that the codes to be recovered are visually imperceptible or minimal. In this manner, the data set may be formed to include data necessary to decode the codes, while eliminating data required to reproduce a comprehensible image. Suitable image encoding techniques for producing encoded images having visually imperceptible or minimal encoding artifacts, and decoding the same are the subject of U.S.

Patent No. 6,122,403 to Rhoads, U. S. Patent No. 6,208,745 to Florencio, et al., U.S. Patent No. 6,205,249 to Moskowitz, U.S. Patent No. 6,198,832 to Maes, et al., U.S. Patent No. 5,737,025 to Dougherty, et al., and U.S. Patent No. 5,737,026 to Lu, et al., all of which are incorporated herein by reference.

[00046] In other variants, time domain audio or image media data received by the monitoring device is reduced by eliminating such portions which are not useful to decode such an identification code or ancillary code or form such a signature. Such data reduction can be achieved, for example, by filtering or subsampling, averaging or otherwise combining data, or eliminating time segments of the data.

[00047] It is thus possible to vastly reduce the amount of data included in the data set, which facilitates storage and communication of the data set. It also preserves the privacy of audience members in the vicinity of the monitoring device 20 by preventing reproduction of comprehensible sounds or images.

[00048] Figure 3 illustrates an advantageous embodiment in which the data set produced at the user location is formed so that, if an identification code and/or ancillary code is present in the media data, it may be extracted from the data set, but that if such a code is not present, the same data set may be used to produce a signature for use in a signature matching process. In Step 100 of Figure 3, time-domain audio data, such as data obtained from the output of a microphone, is transferred to the frequency domain, by Fast Fourier Transform ("FFT"), wavelet transform, digital filtering, or other time-to-frequency domain transformation. Where the audio data is initially received in the form of frequency-domain data, this step is unnecessary.

[00049] The frequency-domain data is subject to a data extraction process in Step 110 to produce a reduced data set, such that data required to detect an identification code and/or ancillary code, if present, is included in the reduced data set, but that a substantial portion of the audio information is not included in the reduced data set. The reduced data set is not merely a compressed version of the audio signal, but also excludes data required to

produce a comprehensible version of the audio signal. Consequently, this process not only results in substantial data reduction beyond that which may be achieved in signal compression, but also ensures privacy.

[00050] The reduced data set so produced is communicated from the user's location, as indicated by Step 120, to a remotely located processing system. The data set is then subjected to a code detection process 130 carried out by examining the frequency content of the data set. If a code is present, as indicated in Step 140, a record of the code is created in Step 150. In the alternative, or in addition, the detected code is matched with identification data for the media data in a database accessible to the remotely located processing system.

[00051] If a code is not detected, a matching process 160 is carried out. In the matching process, a signature is produced based on the data set. There are several alternative signature extraction techniques. In one, the entire data set is used without modification as a signature. In another, a portion of the data set is selected as a signature. In yet another, a signature is produced based on the data set by combining or otherwise processing its data to produce the signature. In certain ones of such processes, pairs of frequency data are selected from the data set and used to form ratios representing components of the produced signature, as in the audio signature formation technique disclosed in Ellis, et al. U.S. Patent No. 5,612,729, incorporated herein by reference.

[00052] The signature so produced is then compared with reference signatures stored in a database accessible to the remotely located processing system. The matching process may be carried out, for example, in the manner disclosed by Ellis, et al. in U.S. Patent No. 5,612,729. Once a reliable match is found, a record of the match is created, as indicated in Step 170.

[00053] There are a number of suitable techniques for producing the reduced data set in Step 110. Where the audio signal has been encoded in accordance with the Srinivasan PCT Publication WO 00/04662, those

frequency components which may include the code components are retained, while those which will not are substantially excluded.

[00054] An advantageous technique for use with audio data encoded as in the Jensen, et al. U.S. Patent No. 5,764,763 or U.S. Patent No. 5,450,490 is described in connection with Figure 4. In the technique of Figure 4, the audio data if not already in the frequency domain, is transformed thereto by FFT or another suitable method as indicated in Step 200.

[00055] Noise amplitudes in the frequency neighborhoods of possible code components are estimated in Step 210. This is achieved by examining the amplitudes of frequency components in such neighborhoods. For example, those components having amplitudes below a threshold, such as an average or mean amplitude or a fixed value, are combined and averaged or otherwise processed to produce a representative noise amplitude.

[00056] Then in Step 220 signal-to-noise ratios are determined for each possible code component based on data amplitude at its frequency to the noise amplitude in its frequency neighborhood. In one embodiment, those ratios which exceed an upper threshold are rejected as likely representing non-code audio signal components, and those falling below a lower threshold are rejected as noise. This process is carried out in Step 230. In an alternative embodiment, those ratios which would exceed the upper threshold are nevertheless retained when the data set is formed. In still another embodiment, all ratios are retained, and Step 230 is omitted.

[00057] The retained ratios are stored in Step 240 until it is appropriate to communicate the data set to the remotely located processing system. A decision is made to communicate, as indicated in Step 250, when a predetermined criterion is fulfilled. For example, where the data is gathered with a monitoring device carried by an audience member, the data may be communicated while the device is coupled with a base station, as in the Brooks, et al. U.S. Patent No. 5,483,276. The decision to communicate the data set may instead be determined based on an amount of stored data or on the lapse of time or else upon the establishment of a communication path by

[00058] Since it is possible to encode each data symbol with relatively few frequency components in this embodiment, there are relatively few ratios required in order to decode the symbols at the remotely located processing system. This enables the data set to be restricted in size to facilitate its storage and transmission.

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